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Conformational control of selectivity in the dienone-phenol rearrangement

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Abstract—We have explored the dienone–phenol rearrangement of substrates where: only the *p*-cresol pathway is possible and relative migratory aptitudes should play no role in determining the regiochemistry of the reaction. For these substrates the selectivity of the rearrangement was found to depend on the stereochemistry of the spirocyclic intermediate formed during the course of the rearrangement. Rearrangement of one of these substrates gave—surprisingly—a single regioisomeric product. Selectivity in this case can be correlated with the relative stability of cationic intermediates, which lie on the pathway between spirocycle and final product. © 2007 Elsevier Ltd. All rights reserved.

Dienone-phenol rearrangement (DPR) reactions, in which naphthalenic dienones of type 1 undergo isomerization to phenolic products upon treatment with acidic media, have been extensively studied.¹ A mixture of two phenols is generally obtained, which result from two separate pathways: 1.2-migration of the saturated ring (C_5) produces a spirocyclic intermediate 2, which leads to 3 (p-cresol pathway); 1,2-migration of the bridgehead substituent (\mathbf{R}^1) and subsequent deprotonation of intermediate 5 leads to 6 (m-cresol pathway). Competition between the two pathways has been shown to be influenced primarily by the relative migratory aptitudes of \mathbf{R}^1 and \mathbf{C}_5 but also by the media in which the reaction is run.² There are a number of cases where either *p*-cresol 3 or *m*-cresol 6 may be formed with high selectivity. Formation of the alternative the *p*-cresol product **4** is never a major pathway due to the lower migratory aptitude of the primary carbon (C8) compared to the secondary carbon (C_5) .

In conjunction with other studies involving the preparation and biological testing of eremophilane-type sesquiterpenes and simple derivatives, we had an occasion to examine the dienone-phenol rearrangement of the nootkatone-derived dienone **10** (Scheme 2). The unexpected selectivity of this reaction prompted us to examine the



Scheme 1. Naphthalenic DPR.



Scheme 2. Preparation of dienone substrates.

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dienone-phenol rearrangements of isomeric compounds 11 and 12 (Scheme 2). Herein we report the results of these studies, which reveal hitherto unexplored conformational factors influencing the regiochemical course of the reaction.

Compound 10 was prepared by dehydrohalogenation of dibromide 7, which we described previously.³ Compounds 11 and 12 were prepared from known Robinson annulation products 8^4 and 9^5 (Scheme 2).

Compounds 10–12 differ from typical naphthalenic dienone–phenol substrates (Scheme 1) in two important respects. Due to the presence of a methyl group at C_4 (blocking deprotonation in the *m*-cresol pathway) only the *p*-cresol pathway is possible. Due to the lack of substitution on C_5 and C_8 , the competing *p*-cresol pathways equally favorable in terms of migratory aptitude.

Treatment of **10** with acetic anhydride containing a drop of sulfuric acid produced, instead of the expected mixture of regioisomers **14** and **15**, a single phenol acetate product. The identity of this product, established by single crystal X-ray analysis, was shown to be **14** (Fig. 1). It appears that the isopropyl group at C₆ has a marked influence on the relative rate of C₅ versus C₈ migration in the spirocyclic intermediate **13** with preferential C₈ migration leading to the observed product (Scheme 3).



Figure 1. Crystal structure of 14.



 $\begin{array}{c|c} & Ac_2O \\ \hline H_2SO_4 \\$

Scheme 4. Substrates 11 and 12 rearrange via a common spirocyclic intermediate.

Dienone-phenol rearrangements of isomeric structures 11 and 12 were expected to favor the same product since they should proceed via a common spirocyclic intermediate 16 (Scheme 4). Since this spirocyclic intermediate is diastereomeric to 13, formed in the rearrangement of 10, we anticipated that these reactions would show a different product distribution.

Dienone-phenol rearrangements of substrates 11 and 12, in contrast to the corresponding reaction of 10, were found to be unselective (Scheme 5). Treatment of 11 or 12 with acetic anhydride containing a drop of sulfuric acid produced a 1:1 mixture of phenol acetates 14 and 15.

Selectivity of the dienone-phenol rearrangements proceeding through the diastereomeric intermediates 13 and 16 roughly correlates with the relative stability of the cationic intermediates formed by competing C_5 versus C_8 migration (Scheme 6). The preferential formation of phenol acetate 14 from dienone 10 correlates with the greater stability of cationic intermediate 18 (converted to 14 by loss of a proton) from the spirocyclic intermediate 13. Cation 18 possesses an equatorial isopropyl group whereas the competing intermediate 17 suffers from a pair of 1,3-diaxial interactions involving the



Scheme 5. DPR of 11 and 12.



Scheme 6. Correlation between selectivity and stability.

isopropyl group. Based on this type of correlation, we expected rearrangement of dienones 11 and 12 to favor phenol acetate 15 since the cationic intermediate leading to this product (20) bears an equatorial isopropyl group while the competing pathway leading to 14 proceeds through an intermediate (19) bearing an axial isopropyl group. However, the rearrangements of 11 and 12 should be less selective than that of 10 since the less stable intermediate (19) suffers from only a single 1,3-diaxial interaction instead of a pair of such interactions.

Discussion of DPR regiochemistry has focused on other factors such as differences in migratory aptitude or steric interactions resulting from substituents at C_5 or C_8 . The correlation between the regiochemistry of the dienone–phenol rearrangement and the stereochemistry of the spirocyclic intermediate (e.g., 13 vs 16 as demonstrated above) has not previously been recognized. We suspect that stereochemistry, and concomitant conformational issues, may play an unrecognized role in determining the course of these reactions. In accordance with this suspicion, we note that in 1960 Hirakura reported that under anhydrous conditions, the dienone–phenol rearrangement of *trans*-dienoneacetic acid 21 provided the *p*-type cresol 23, exclusively (Scheme 7).⁶

Through the examination of the stability of cationic intermediate **25**, one can see that this system suffers from a pair of destabilizing 1,3-diaxial interactions, like that of previous intermediate **17**. The alternative pathway, proceeding via reaction intermediate **26**, orients the carboxymethyl substituent in the more stable, equatorial position, thereby providing a favorable route to the exclusive production of the phenolic acetate **23** (Scheme 8).

Steric issues such as those described in Schemes 6 and 8 seem to play an important role in determining DPR selectivity for systems described above. That other structural factors may compete, or override, conformation



Scheme 7. Previously reported DPR of 21.



Scheme 8. Correlation between selectivity and stability.



Scheme 9. Steroidal DPR.

issues is illustrated by the selectivity of steroidal dienone phenol rearrangements such as that of the steroidal dienone 27 depicted in Scheme 9 where 29 is the exclusive *p*-cresol product.⁷ In the steroidal dienone–phenol rearrangement migratory aptitude plays the dominant role in determining product regiochemistry, overriding steric factors, which would appear to be more favorable in the disfavored pathway leading to the unobserved product 30.

In conclusion, we have explored the dienone-phenol rearrangement of substrates where: only the p-cresol pathway was possible and relative migratory aptitudes should play no role in determining the regiochemistry of the reaction. For these substrates the selectivity of the rearrangement was found to correlate with the stereochemistry of the spirocyclic intermediate formed during the course of the rearrangement. Rearrangements employing substrates 11 and 12, proceeding via spirocycle 16, gave a 1:1 mixture of the two possible *p*-cresol products. In contrast, rearrangement of 10, proceeding via the diastereomeric spirocycle 13, gave-surprisingly—a single product 14. It is tempting to attribute the selectivity of these rearrangements to the relative stability of cationic intermediates 17-20, which lie on the pathway between spirocycle and final product. However, this correlation is obviously not perfect (otherwise substrates 11 and 12 would show some selectivity for product 15) and more subtle issues may be at play here. Nevertheless, it seems that stereochemistry may play a more important role in determining the course of dienone-phenol rearrangement than previously recognized.

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Supplementary data

Experimental and ¹H NMR data for all compounds are included. Supplementary data associated with this article can be found, in the online version, at doi:10.1016/j.tetlet.2007.07.001.

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